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|--|--|--|---------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>Form for new nonprovisional applications under 37 C.F.R. § 1.53(b)</small> | | Attorney Docket Number | 500615.20142 |
| | | First Inventor or Application Identifier | Tadashi Takeda |
| | | Title | OPTICAL HEAD DEVICE |
| | | Express Mail Label No. | EL 915668780 US |

APPLICATION ELEMENTSSee chapter 600 concerning utility patent application contents.

1. *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Specification [Total Pages 18]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Formal Drawing(s) (35 USC 113) [Total Sheets 7]
4. Oath or Declaration [Total Pages 2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior
application, see §§ 37 CFR §1.63(d)(2)
and 1.33(b)
 - c. Unsigned

**NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY
SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED
(37 C.F.R. § 1.27) EXCEPT IF ONE FILED IN A PRIOR APPLICATION
IS RELIED UPON (37 C.F.R. § 1.28).**

ADDRESS TO:
 Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 CFR § 3.73(b) Statement Power of Attorney
(when there is an assignee)
9. English Translation Document (if applicable)
10. Information Disclosure Statement (IDS)/PTO-1449 Copy of IDS
Citation
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. Applicant Claims Small Entity Status
14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. Other:

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:
 Continuation Divisional Continuation-in-part (CIP) of prior application No. ___/___

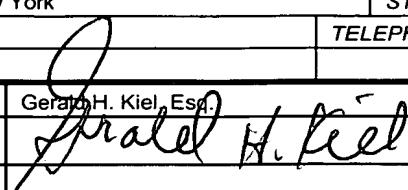
Prior application information: Examiner ___

Group/Art Unit: ___

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

17. CORRESPONDENCE ADDRESS

| | | | | |
|--|---|--------------------------------------|---|--------------|
| <input type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer No. or Attach bar code label here) | | <input type="checkbox"/> Correspondence address below | |
| NAME | | Gerald H. Kiel, Esq. | | |
| | | Reed Smith LLP | | |
| ADDRESS | | 375 Park Ave, 17 th Floor | | |
| CITY | | STATE | NY | ZIP CODE |
| COUNTRY | | US | TELEPHONE | 212-521-5400 |
| | | | | FAX |
| | | | | 212-521-5450 |

| | | | |
|-------------------|---|-----------------------------------|--------------|
| Name (Print/Type) | Gerald H. Kiel, Esq. | Registration No. (Attorney/Agent) | 25,116 |
| Signature |  | | Date |
| | | | July 6, 2001 |

10/90/01

FEE TRANSMITTAL for FY 2000

| | | | |
|----------------------|----------------|---------------------|--------------|
| Application No. | Unknown | Filing Date: | July 6, 2001 |
| First Named Inventor | Tadashi Takeda | Group Art Unit: | Unknown |
| Examiner Name: | Unknown | Attorney Docket No. | 500615.20142 |

METHOD OF PAYMENT (Check one)

1. **Payment Enclosed:** Check Money Order Other

2. The Director is hereby authorized to charge indicated fees to:

2a. Charge any additional fee required under 37 CFR 1.16 and 1.17 and and credit any over payments to:

Deposit Account Number 50-1529Deposit Account Name Reed Smith, LLP

FEE CALCULATION (fees effective 10/1/00)

1. BASIC FILING FEE

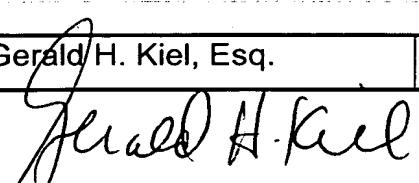
| Large Entity Fee Code | (\$) | Small Entity Fee Code | (\$) | Fee Description | Fee Paid |
|-----------------------------|------|-----------------------------|------|------------------------|---|
| 101 | 710 | 201 | 355 | Utility filing fee | 710 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$710) |

2. EXTRA CLAIM FEES

| | **No. of Claims | Extra Claims | Fee from Below | Fee Paid |
|-----------------------------|--------------------|-----------------|-------------------|--|
| Total Claims | 7 -20 | 0 | x 18 | 0 |
| Independent Claims | 1 -3 | 0 | x 80 | 0 |
| X Multiple Dependent Claims | | 0 | x 270 = | 0 |
| SUBTOTAL (2) | | | | (\$ 0) |

**or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------------|-----------------------------|---|-----------------------------|-----------------------------|--|--|
| 103 | 18 | 203 9 Claims in excess of 20 | 581 | 40 | 581 40 Recording each patent assignment per property (times number of properties). | \$ 0 |
| 102 | 80 | 202 40 Independent claims in excess of 3 | | | | |
| 104 | 270 | 204 135 Multiple dependent claim | | | | |
| 109 | 80 | 209 40 Reissue independent claims over original patent | | | | |
| 110 | 18 | 210 9 Reissue claims in excess of 20 and over original patent | | | | \$ 0 |
| SUBTOTAL (3) | | | | | | \$ 0 |

| | | | | | |
|--------------------------|---|--|--|-------------------------------|--------------------|
| SUBMITTED BY | | | | Complete (if applicable) | |
| Typed or Printed Name | Gerald H. Kiel, Esq. | | | Date: July 6, 2001 | Reg. Number 25,116 |
| Signature |  | | | Deposit Account User ID | 50-1529 |

Certificate of Mailing by "Express Mail"

EL 915668780 US
"Express Mail" label number

July 6, 2001
Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to the Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.



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Typed or printed name of person mailing correspondence

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